

Acta Psychologica xxx (2003) xxx-xxx

acta psychologica

www.elsevier.com/locate/actpsy

# Object concepts and action: Extracting affordances from objects parts

Anna M. Borghi *	Anna	M.	Borghi	*
------------------	------	----	--------	---

5 Dipartimento di Psicologia, Università di Bologna, Viale Berti Pichat, 5, Bologna 40127, Italy

6 Received 17 April 2003; received in revised form 13 November 2003; accepted 21 November 2003

#### 7 Abstract

2

3

4

8 Two experiments with a part-generation task show that rated salience and production or-9 der of parts in artifacts are first predicted by their relevance for canonical actions, but also that 10 they vary, depending on the current situation.

In three further experiments participants read sentences describing actions (e.g., 'The woman shares the orange') followed by objects' parts from which it was easy or not to extract affordances (e.g., 'slice' vs. 'pulp'). They had to perform a part verification task or to evaluate whether or not the combination made sense. Parts from which it was easy to derive affordances were processed earlier and the combination was evaluated as the one which made more sense. Overall, results support the view that sensory-motor simulations underlie conceptualization

16 Overall, results support the view that sensory-motor simulations underlie conceptualization 17 and that concepts are action-based.

and that concepts are action-based.© 2003 Published by Elsevier B.V.

19 PsycINFO classification: 2300; 2340

20 Keywords: Categorization; Action; Affordance; Conceptual knowledge

# 21 1. Introduction

- 22 1.1. General framework
- 23 Amodal views of conceptual knowledge assume that concepts, i.e., our knowledge
- 24 units about categories, are represented through propositional symbols. The relation-
- 25 ship between these symbols and their referents is arbitrary. Amodal views are usually

<sup>\*</sup> Tel.: +39-51-2091838/2091822; fax: +39-51-243086.

E-mail address: borghi@psibo.unibo.it (A.M. Borghi).

based on the premise that perceptual and motor experience is translated into amodal and abstract symbols (Fodor, 1975; Smith & Medin, 1981; for a recent powerful formulation of this view see Landauer & Dumais, 1997). So, for example, the concept 'cup' would be represented through propositional features such as 'has a handle,' you drink from it,' and so on. There is no relationship between the features we associate with the cup and the sensory-motor experience we have when we see a cup or drink from it.

A logical consequence of this vision of concepts is the assumption that the semantic system, where knowledge is "contained", is clearly differentiated from the other
modular systems of perception and action (Rumiati & Humphreys, 1998; Tulving,
1972).

37 As far as research programs are concerned, the adoption of amodal views of conceptual knowledge has often led researchers to focus on the stable rather than on the 38 39 flexible aspects of conceptual organization (see for example the critiques of Smith, 1995). This does not mean that symbolic amodal theories cannot account for or pre-40 dict variability in conceptual organization (Landauer & Dumais, 1997). Simply, the 41 42 source of this variability is ascribed to semantic relatedness between concepts and to 43 frequency and is not attributed to the re-enactment of a sensory-motor experience. 44 Recently, in different fields, a different view of the relationship between cognition, 45 perception and action has begun to gain credit (Thelen & Smith, 1994). In particular, it has been proposed that cognition is embodied, i.e., that it depends on the kind of 46 47 experiences produced as a result of our having a body with a particular sensory-motor system. This view of cognition is clearly in opposition with the classical cognitiv-48 49 ist view, according to which the mind is a device for manipulating arbitrary symbols. 50 In line with this perspective, the view held by Gibson (1979) has been given new resonance. Many theories in various fields, from those regarding perception to those 51 52 regarding attention, to language (Berthoz, 1997; Humphreys & Riddoch, 2001; Li-53 berman & Whalen, 2000; Rizzolatti & Arbib, 1998; Rizzolatti, Riggio, Dascola, & 54 Umiltà, 1987), share the idea that perception, action and cognitive systems cannot 55 be considered as separated, and defend the claim that cognition is deeply grounded in sensory-motor processes. For example, in the field of vision studies, O'Regan and 56 Noë (2001) have recently proposed that seeing is a way of acting, i.e., that it is a way 57 of exploring the environment. Accordingly, the experience of seeing occurs when the 58 59 organism masters the governing laws of sensorimotor contingency.

In the field of categorization, as well, a different view of conceptual knowledge has begun to gain credit in recent years. It has been argued that the existence of a translation process—from sensory experience into amodal symbols—is not necessary, nor is it plausible from an evolutionary point of view. In this perspective, concepts are conceived of as re-enhancement of neural activation patterns, directly referring to sensory-motor experiences (Barsalou, 1999; Barsalou, Simmons, Barbey, & Wilson, 2003).

Much neural evidence convergent with this view has been provided: in particular, proponents of the sensory-motor theory, on the basis of functional neuroimaging data, argue that conceptual knowledge does not constitute information which is physically distinct from modality specific input and output representation. On the

<sup>2</sup> 

3

contrary, "the features that define an object are stored close to the primary sensory
and motor areas that were active when information about that object was acquired"
(Martin, Ungerleider, & Haxby, 2001, p. 1023; for a review adopting a different po-

74 sition, see Mahon & Caramazza, in press).

75 An implication of this view is that, because knowledge is anchored in experience, 76 it cannot be separated from perception and action. In antithesis with the amodal 77 view, recent studies show that perception, action and cognition are deeply related. This idea was anticipated by Gibson's (1979) theory, according to which perception 78 is not a channel of information flow made of different processing stages (Sternberg, 79 80 1969) but it is deeply influenced by action and movement. Much neurophysiological 81 evidence is consistent with the view of the reciprocal interaction of action intention 82 and perceptual systems (Jeannerod, Arbib, Rizzolatti, & Sakata, 1995; Knoblich & Flach, 2001; Prinz, 1997; Ward, 1999). On the behavioral side, recent evidence indi-83 84 cates that the relationships between perception and action may be reciprocal. Tucker 85 and Ellis (1998, 2001) have shown that the vision of an object may directly elicit ac-86 tion patterns independent of the intentions of the subject: for example, the vision of a 87 cup elicits (affords) the action of grasping it, and the vision of objects different in size 88 elicits different kinds of grasping (precision vs. power grasp). Bekkering and Neggers (2002) have shown that the intention to perform an action modulates visual process-89 90 ing by favoring the perceptual features that are related to action. They measured the 91 accuracy of saccades in grasping and pointing to target objects that could have a different orientation (45° vs. 135°) and color (green vs. orange). They found that the 92 93 first eye movement was more accurate in selecting a target object with a given orien-94 tation located in the midst of distractors when the object had to be grasped after-95 wards than when it had to be pointed to. There was no difference in errors between conditions when participants had to select an object with a predetermined 96 97 color. Given that orientation is relevant for grasping but not for pointing, the results 98 indicate that action planning influences visual processing. The fact that action intention (e.g., grasp vs. point) leads to different ways of focusing on visual properties can 99 100 deeply influence theories on concepts. In this line, recent proposals argue that con-101 ceptualization has its basis in both perception and action, and that it has the adaptive role of preparing for situated action (Barsalou, 2002). Thus, concepts, i.e., our 102 103 knowledge units, can be conceived of as the coding of possible interaction patterns 104 with the world surrounding us (Glenberg, 1997).

A further implication of this view is that, because knowledge is grounded in bodily and situational experience, conceptual variability is highly stressed. In fact, depending on our kind of body and on the situation we are experiencing, different conceptual aspects are activated. Accordingly, concepts are conceived of as situated and embodied, because they vary depending on the situation and on the relations between their referents and our body (Barsalou, 1987, 1999; Smith & Samuelson, 1997).

A central notion for the view that "knowledge is for action" (Wilson, 2002) is that of affordance (Gibson, 1979), for two reasons: (1) because it demonstrates the close connection between perception and action, and (2) because it provides an understanding of the importance of variability and situationatedness. Affordances are

116 ways in which a perceiver can interact with an object. Thus the notion of affordance 117 is not an absolute one. Depending on the constraints of our body, on the perceptual 118 characteristics of objects and on the situation at hand, different objects or different 119 parts of objects may afford actions. When we are driving a car, its steering wheel 120 may become particularly salient for guiding our actions, while when we are repairing 121 a car, its motor may become more salient. However, regardless of the current situa-122 tion, both the car's steering wheel and motor are probably more salient for the con-123 cept 'car' than other parts typically less salient for acting, such as the roof.

### 124 1.2. Aim and hypotheses

125 If the claim that "knowledge is for action" is true, an important function of con-126 cepts may reside in the role they play when one is preparing for situated actions 127 (Barsalou, 2002). The advantage of preserving perceptual and motor characteristics 128 of conceptual referents may reside in facilitating our interaction with objects.

129 The aim of this paper is to verify whether objects are represented as patterns of 130 potential actions by focusing on their parts. But what is a part? Different proposals 131 have been advanced, in order to define parts. For example, Biederman (1987) pro-132 posed that it is possible to segment objects into a set of 3-D volumetric primitives 133 called geons. In a different view, Hoffman and Richards (1984) have proposed that 134 individuals are more likely to identify a particular patch of shape as a part because it lies between two points of extreme negative curvatures, rather than assuming that 135 objects are parsed into primitive shapes. Here, parts are defined in a very broad 136 137 sense, as any fragment or component of an object-stimulus. Given that the studies 138 proposed involve three tasks which imply a linguistic mediation—a part production, a part verification and a sensibility-evaluation on parts task—parts with an easily 139 expressible name will have an advantage over components of objects without a 140 141 name.

142 Parts are particularly important because actions are generally directed towards 143 them. There is much evidence showing that objects are represented componentially (Biederman, 1987), and that parts play a special role for object concepts, especially 144 for basic level ones (Murphy, 1991; Rakinson & Butterworth, 1998; Schyns & Mur-145 phy, 1994; Tversky, 1989; Tversky & Hemenway, 1984). In particular, as the action 146 intention selects perceptually relevant properties in perception, in conceptualization 147 148 different parts should also be activated depending on the activated action and situ-149 ation.

- 150 If concepts are not represented as patterns of potential actions,
  - 1a. the salience of a part should be independent of the role parts play for guiding acting;
  - 1b. the salience of a part should not vary depending on the currently activated action.

155 If object concepts are represented as embodied and situated entities (Barsalou,

156 1999; Pecher, Zeelenberg, & Barsalou, 2003),

<sup>4</sup> 

5

2a. the salience of a part should depend on the role parts play for canonical actions directed towards an object, i.e., the most important parts in an object concept should be the ones affording the more frequent actions performed with it;2b. the salience of a part should vary depending on the currently activated action.

161 Hypotheses 2a and 2b are not conflicting; in fact, it is plausible that not all possible 162 affordances are necessarily activated during a simulation, only affordances elicited by canonical actions as well as affordances relevant for the current goals (Kaschak & 163 164 Glenberg, 2000; Zwaan, Stanfield, & Yaxley, 2002). The term "simulation" refers 165 to the fact that we may simulate an object, for example a car, in its absence (Barsalou, 166 1999), because we have integrated the properties of the object in a coherent and orga-167 nized system. Thus we might simulate cars' parts by re-enhancing the sensory-motor experience we have had with them. The same is true for event sequences. For example, 168 169 the simulation of the event sequence of moving a table focuses on the actions involved 170 in lifting and pushing it, but not on the experience of eating on it.

# 171 1.3. Overview of the present experiments

To test these hypotheses in Experiments 1 and 2 a feature generation task was used, which is widely assumed to assess the way concepts are represented (Tversky & He-

menway, 1984; Wu & Barsalou, submitted for publication).

Consider that modal views naturally predict that concepts, similarly to percepts, have perspectives, i.e., that activated features are best predicted by action and the current context. It would be reductive, however, to argue that amodal theories cannot explain these effects. Proponents of such theories might account for such results by arguing, for example, that certain concept parts have stronger semantic associations with certain situations than with others.

181 In particular, proponents of the amodal view might claim that feature production 182 tasks are not sufficiently informative, as their results might be explained by the fact 183 that there are simply stronger lexical associations, say, among words denoting parts within a given perspective than across different perspectives. For this reason in Exper-184 iments 3 and 4 a part verification task was used, and in Experiment 5 a sensibility-rat-185 186 ing task of words and sentences was used. These methods allow an easier control of the association degree between words denoting parts and words and sentences denot-187 ing situations, and make it possible to rule out the hypotheses that the results might be 188 189 due to semantic associations rather than to the creation of mental simulations of the 190 objects. 191 Experiment 3 tests with a part verification task the hypothesis that different parts 192 are activated depending on the action expressed by a sentence. If it is true that process-

193 ing a sentence like 'He grasped the knife' activates a mental simulation of the scene, 194 and if it is true that objects are represented componentially (Biederman, 1987), the

195 part 'handle' following the sentence should be verified faster than the part 'blade',

196 due to the fact that the part 'handle' better affords the action of grasping a knife than

197 the part 'blade'. Consider, however, a possible objection to this experiment. The dem-

198 onstration that different actions activate different parts may simply show that concep-

199 tual organization is variable, but not that concepts are represented through simula-200 tions preserving their perceptual and motor characteristics. Simply, such a result 201 could be explained by a semantic network account: the verb 'grasp' may be more 202 semantically associated with 'handle' than with 'blade', while the verb 'cut' may be 203 more semantically associated with 'blade' than with 'handle'.

204 In order to rule out a semantic network account, in Experiments 4 and 5 parts were 205 selected which were not semantically associated to a given action, but which, due to 206 their perceptual features, might or might not afford a particular action (see Glenberg 207 & Robertson, 2000). Parts from which it is easy to extract affordances will be called 208 affording parts. For example, the neck of a bottle can more easily afford the action of 209 putting it down than its cork, even though neither the word 'neck' nor the word 'cork' 210 are strongly semantically associated with the sentence expressing the action of putting something down. Experiment 4 tests whether affording parts are processed quicker 211 212 than non-affording parts in a part verification task; Experiment 5 tests whether afford-213 ing parts are considered more sensible in the context of sentences compared with non-214 affording parts. If affording parts are processed earlier and evaluated as being more 215 plausible than non-affording parts, this advantage cannot be due to the semantic 216 relatedness between words denoting parts and sentences. Thus, the results will favor 217 the view according to which concepts are simulations preserving perceptual and mo-218 tor characteristics of objects. A possible advantage of preserving perceptual charac-219 teristics of objects is to prepare for situated action (Glenberg, 1997), thus 220 facilitating interaction with the object.

#### 221 2. Experiment 1

222 In this experiment the type of simulated interaction with objects was manipulated: 223 three groups of participants were required to imagine using/acting, building, or seeing 224 objects. For the critical objects they were also required to produce parts. If hypothesis 225 1 is true, i.e., if concepts are represented through amodal and arbitrary symbols and 226 are stable across situations, then (a) parts relevant for acting should not be produced more frequently across conditions and earlier than other parts; (b) the same parts 227 228 should be generated in the three situations and in the same production order. If 229 hypothesis 2 is true, i.e., if concepts are represented as patterns of potential actions in terms of perceptual symbols, then (a) parts relevant for canonical actions should 230 231 be produced across all situations and should be produced earlier than other parts; 232 (b) in the building and vision situation there should be an interaction: parts more rel-233 evant for building should be produced more frequently and earlier in the building 234 than in the vision situation; the opposite should be true for the vision situation.

# 235 2.1. Participants

Forty-eight students of the University of Bologna, native Italian speakers between the ages of 19 and 24, volunteered for the experiment. Sixteen participants were randomly assigned to each of the three groups between participants conditions.

<sup>6</sup> 

# 239 2.2. Material

240 Twenty-eight concept names were selected, 14 of which referred to objects that 241 could be used/acted upon, built or seen, 14 of which referred to objects or entities 242 that could not (e.g., phantom, alien). Special care was taken in selecting the seven 243 critical concept-nouns among the 14 concepts referring to objects which could be 244 used/acted upon, built or seen: bicycle, car, hi-fi, mixer, motorbike, piano, and wash-245 ing machine. A pilot study was run in which six independent participants evaluated a 246 list of 15 complex artifacts concept-nouns, chosen because they have parts differently 247 relevant in the three situations of acting/using, building and seeing the objects. Note 248 that the Italian words used contain no cues as to possible parts or actions, as their 249 English counterparts do. The seven critical concept-nouns were the concepts which, according to all raters, were characterized by the fact that they have parts which are 250 251 differentially relevant for each of the three situations. In fact, all of the selected arti-252 facts, regardless of their size and complexity, are characterized by having three dif-253 ferent kinds of parts. They all have external parts, often protruding from the object's 254 main structure, which typically afford actions: for example the car's steering wheel, 255 the handle of a bicycle and of a bike and the keys and buttons of the washing ma-256 chine, the hi-fi and the mixer. They also have external parts, which do not protrude 257 from the object's main structure but often constitute it. These objects are typically 258 larger than the first ones but typically do not elicit goal-directed actions, as for exam-259 ple the car's roof and sides, the structure of the hi-fi, the tail of the piano. Finally, all 260 the selected artifacts have internal parts which determine the way the objects work 261 but are not perceptible from the outside and are not relevant for canonical action 262 with the object, even though they are very important for building the objects, as for example the motor of the car, the chain of the bicycle, the wires of the hi-fi. 263

# 264 2.3. Procedure

265 The paradigm used was very similar to that developed in Borghi and Barsalou (2001). Participants, who were individually interviewed, had to perform an imagery 266 decision task, i.e., they were asked whether they could imagine themselves or some-267 268 body else either using, building or seeing an object, depending on the experimental condition. Participants could answer 'yes' or 'no' to the question, which was repeated 269 270 for each concept. Immediately after having answered 'yes' or 'no' for the seven crit-271 ical concepts they were also asked to produce the parts of the objects they referred 272 to. The part-generation task was embedded within an imagery decision task in order 273 to avoid rendering the task transparent.

#### 274 2.4. Transcription and rating

The tape-recorded interviews were transcribed. For each participant both the parts produced and the sequential position in which each part was produced (first, etc.) were reported.

A.M. Borghi | Acta Psychologica xxx (2003) xxx-xxx

Four independent raters were asked to evaluate on a seven-point scale the importance of each part produced for acting/using, building and seeing each object. Each rater saw the produced parts in a different random order and did not know in which situation (action/use, building or vision) they had been produced.

282 2.5. Results

# 283 2.5.1. Frequency

The frequency of the parts produced in the action/use situation (M = 3.71) was lower than that of the parts produced in the build (M = 4.89; Newman-Keuls p < 0.03) and vision situations (M = 4.95; Newman-Keuls p < 0.05) (F(2,45) = 3.61, MSe = 2.15, p > 0.05).

# 288 2.5.2. Rated perspective

The scaled ratings were applied to the individual protocols in order to see whether, for a given protocol, the parts produced reflected one perspective more than the other and whether the parts reflecting a given perspective were produced first.

292 2.5.2.1. Parts dominant in one perspective. The parts whose average rating in one 293 perspective was at least one point higher than the max of the average ratings of the 294 two other perspectives were selected. For each concept there was a sub-group of 295 parts dominant in each perspective. For example, for the concept 'car,' 'accelerator' 296 and 'pedals' were dominant in the action/use perspective, 'transmission' in the build 297 perspective, and 'windshield' in the vision perspective.

298 2.5.2.2. Ratings. The average rating of each part for each perspective (act/use, build and vision) was multiplied by the frequency of the produced parts (0,1) for each 299 300 participant. Consider that in studies on categorization with feature generation tasks the features produced are typically coded according to a norm-for example, the 301 features produced for a given concept are distinguished according to thematic 302 properties, taxonomic properties, attributive properties etc. (Borghi & Caramelli, 303 2003; Lin & Murphy, 2001). This presupposes that the same relation cannot be at the 304 same time both thematic and taxonomic, or that the percentage of overlap is not 305 high. Typically, two people code the properties produced according to a norm (for 306 307 examples, see Barsalou, Solomon, & Wu, 1999), and the frequency of the coded properties are treated with statistical analysis. In this study it would be difficult and 308 309 simplistic to code each part as relevant only for one situation—building, action/use, 310 vision. For this reason, four coders, instead of two, were asked to rate the impor-311 tance of each part for each perspective using a seven-point scale (instead of a yes or no coding). This made it possible to obtain a weighted coding instead of a dicho-312 tomic coding (e.g., to consider each property as linked exclusively with vision, action, 313 or building). On the frequency of the coded parts an ANOVA was performed. 314

The design was mixed in the ANOVA, because Situation (action/use, build and vision) was manipulated between participants and Ratings within participants. The two main effects of Situation (F(2, 45) = 5.70, MSe = 0.11, p < 0.01) and Rat-

#### ACTPSY 949 15 December 2003 Disk used ARTICLE IN PRESS No. of Pages 28, DTD=4.3.1

A.M. Borghi / Acta Psychologica xxx (2003) xxx-xxx

9

318 ings (F(2,90) = 63.51, MSe = 0.049, p < 0.01) were significant, as well as the interaction (F(12,90) = 13.44, MSe = 0.049, p < 0.01). Overall, across situations the 319 parts produced were those which were rated higher according to the action/use per-320 321 spective than both the build and vision perspectives (Newman–Keuls, p < 0.01). This 322 indicates that the canonical perspective activated for objects is the action/use one. 323 However, the interaction indicates that in the build Situation participants produced 324 mainly parts relevant to building, while in the vision Situation they produced parts 325 relevant to vision (Newman-Keuls, p < 0.01). This shows that object parts are dif-326 ferently activated depending on the perspective with which they are accessed (see 327 Fig. 1).

328 2.5.2.3. Ratings × Position. The average rating on each part for each perspective

- 329 (action/use, building and vision) was multiplied by the position of the produced part
- 330 by each participant according to the following formula:  $(n + 1 p)/(n 1)^* r$ , where
- 331 n is the total number of parts produced by each participant for each concept, p the
- 332 position in which each part was produced and r the average rating on that particular



Fig. 1. Experiments 1 and 2. Frequency and rated Perspective by Frequency and by Position of the produced parts in each Situation.

A.M. Borghi | Acta Psychologica xxx (2003) xxx-xxx

part (for a similar procedure, see Wu & Barsalou, submitted for publication). This normalized p, the position in which each part was produced, in relation to n, the total number of parts produced by each participant, so that, for example, the first position within a total of 10 properties was considered as more relevant than the first position within a total of two properties.

Overall, across the three situations the parts relevant for action/use were produced 338 339 earlier than those produced in both the building and vision situations (Newman-Keuls, p < 0.01). The interaction between building and vision indicates that the parts 340 produced earlier in the building Situation are parts relevant for building, in the vi-341 342 sion Situation are parts relevant for vision (Newman–Keuls, p < 0.01). In the ANO-343 VA the two main effects of Situation (F(2, 45) = 7.22, MSe = 0.02, p < 0.01), 344 Ratings × Position (F(2,90) = 36.43, MSe = 0.02, p < 0.01), and the interaction 345 (F(4,90) = 8.36, MSe = 0.02, p < 0.01) were significant. The results obtained con-346 firm that object concepts are conceived in terms of their affordances, i.e., of the parts 347 which elicit actions, but they also demonstrate that depending on the situation, dif-348 ferent parts are activated.

349 2.6. Discussion

The results support the predictions of the embodied and situated view of concepts. In fact, parts produced more frequently and earlier across situations are those rated as relevant for canonical actions, i.e., for using the object (hypothesis 2a). In addition, depending on the kind of simulated interaction with objects (building vs. vision), different parts become salient for concepts (hypothesis 2b). Thus conceptualization is both body and situation dependent.

# 356 3. Experiment 2

If object concepts are represented as action-based, then in a neutral condition the parts relevant for actions should be those which are rated as most important and produced earlier. Experiment 2 represents a control experiment of Experiment 1, consisting of a simple part-generation task, performed without asking participants to simulate a particular situation.

362 3.1. Participants

363 Sixteen students of the University of Bologna, native Italian speakers, volunteered364 for the experiment.

365 3.2. Material

366 The same seven critical trials used in Experiment 1 were used in this experiment.

#### 11

#### 367 3.3. Procedure

368 The procedure differed from that of Experiment 1 only in that participants, who were individually interviewed, did not perform the imagery decision task but only the 369 370 part-generation task.

3.4. Transcription 371

372 As in Experiment 1 the interviews were transcribed and for each participant both 373 the parts produced and the position in which they were produced were recorded.

- 374 3.5. Results
- 375 3.5.1. Rated perspective

376 The scaled ratings of Experiment 1 were applied to the individual protocols of 377 Experiment 2 in order to see whether, for a given protocol, the parts produced re-

378 flected one perspective more than the other, and whether the parts reflecting a given

379 perspective were produced first.

3.5.1.1. Ratings. As in Experiment 1, the average rating on each part for each per-380 spective (action/use, building and vision) collected in Experiment 1 was multiplied by 381 382 the frequency of the produced parts (0, 1) by each participant in this Experiment.

In order to see whether the parts produced in the neutral Situation reflected one 383 384 perspective more than the others, an ANOVA was performed with the variable Rat-385 ings at three levels (action/use, building and vision), manipulated within participants.

The parts produced were those which were rated higher according to the action/use 386

387 perspective than both the building and vision perspectives (F(2,30) = 31.81,

388 MSe = 0.027, p < 0.01) (Newman-Keuls, p < 0.01). This confirms the trend found

389 in Experiment 1.

390 3.5.1.2. Ratings  $\times$  Position. The average rating on each part for each perspective (action/use, building and vision) was multiplied for the position of the produced part 391 392 by each participant following the same formula used in Experiment 1. The results of

the ANOVA show that the parts produced earlier are those which obtained higher 393 394

ratings in the action/use perspective than in both the building and vision perspectives

- 395 (F(2, 30) = 21.56, MSe = 0.01, p < 0.01) (Newman-Keuls, p < 0.01).
- 396 3.6. Discussion

397 The results of Experiment 2 show that when participants are not asked to simulate 398 objects in a specific situation the saliency of object parts depends on their role for 399 action (hypothesis 2a). In order to better disentangle the results, the data of Exper-400 iments 1 and 2 were compared directly.

A.M. Borghi | Acta Psychologica xxx (2003) xxx-xxx

## 401 4. Comparison between Experiments 1 and 2

If hypothesis 2a is true, i.e., object concepts are patterns of potential actions and the canonical perspective in which objects are represented is action/use dependent, then the results obtained in Experiment 2, i.e., in a part-generation task performed in a neutral condition, should resemble those obtained in the action/use condition of Experiment 1 and differ from those obtained in the building and vision situations of Experiment 1 (see Fig. 1).

- 408 4.1. Results
- 409 4.1.1. Frequency

The frequency of the parts produced in the four situations (E1 action/use, E1 building, E1 vision, E2 neutral) was compared. The average frequency of the parts produced in E2 was higher than that of parts produced in E1 action/use situation, but much lower than that produced in the E1 building and E1 vision Situation (F(3, 60) = 2.70, MSe = 2.10, p < 0.05). Post hoc Newman–Keuls showed that the E1 action/use situation differs from both the E1 building (p < 0.2) and the E1 vision situations (p < 0.03). The E2 neutral situation does not differ significantly from any of the other situations.

418 4.1.2. Rated perspective

419 4.1.2.1. Ratings. An ANOVA was performed with the variables Situation at four 420 levels (E1 action/use, E1 building, E1 vision, E2 neutral) and Ratings at three levels 421 (action/use, building, vision). Both the E1 action/use and the E2 neutral situations 422 had the same pattern of results differing from the other two situations. The two main 423 effects of both Situation (F(3,60) = 4.17, MSe = 0.126, p < 0.01) and Ratings 424 (F(2,120) = 89.20, MSe = 0.04, p < 0.01) were significant as well as the interaction 425 (F(12,120) = 10.798, MSe = 0.04, p < 0.01). Post hoc Newman–Keuls shows that 426 the E1 action/use and the E2 neutral situations do not differ but both differ from the 427 other two situations (Newman–Keuls, p < 0.01).

428 4.1.2.2. Ratings × Position. The same result was found in the ANOVA on positions. 429 The effects of Situation (F(2, 60) = 9.65, MSe = 0.02, p < 0.01), Ratings×Position 430 (F(2, 120) = 52.57, MSe = 0.02, p < 0.01) and the interaction (F(6, 120) = 6.99, 431 MSe = 0.017, p < 0.01) reached significance. Again, the E1 action/use and the E2 432 neutral situations differed from both the E1 building and the E1 vision ones 433 (Newman–Keuls, p < 0.01).

# 434 4.2. Discussion

Hypothesis 2a is confirmed. In fact, across the four situations the parts relevant
for action/use were produced earlier than those produced in both the building and
vision situations. In addition, the pattern of data obtained in the neutral situation
strongly resembles that of the action/use situation.

439 It can be argued that the parts of artifact concepts which are activated in a neutral 440 condition, and those which are activated earlier, are not those which are more visible 441 or more important structurally, but those which are more relevant for acting with 442 objects.

443 Consider, however, a possible objection to the previous experiments. A proponent 444 of the amodal view might claim that a feature production task is not sufficiently 445 informative, as the results of the first two experiments might also be explained by 446 stronger lexical associations among words denoting parts within a given perspective 447 than among words across different perspectives.

448 It is possible to respond to this objection both theoretically and from a method-449 ological approach. From a theoretical point of view, it can be objected that lexical 450 associations are grounded in and originated in perceptual and action experience. 451 However, this argument might be considered too general.

Taking a closer look at the data, it can be said that Latent Semantic Analysis (LSA, Landauer & Dumais, 1997) would predict mostly situational effects, while the data show both canonical and situational effects. However, it can be argued that this is not completely true; in fact, the dominance of a situation across others might also be predicted by a word association account, without it being necessary to postulate a perceptual simulation account.

In order to rule out possible objections, different methods were used: a faster part verification task and a sensibility-rating task made up of words and sentences. These methods have the advantage of making it easier to control for semantic associations between concept-nouns and sentences referring to situations.

Consider a further point. It might be argued that the results of Experiments 1 and 462 2 hold only for complex artifact concepts, and more specifically for artifacts which 463 464 can be manipulated and with which we generally perform actions, such as bicycles 465 in comparison to statues. For this reason in Experiments 3–5 a further variable 466 was introduced, the difference between concept kinds. Different studies, both behavioral and neural, show that artifacts elicit functional rather than perceptual attri-467 468 butes (Keil, 1989) and suggest that function and manipulation knowledge are critical for artifacts (Buxbaum, Schwartz, & Carew, 1997; Buxbaum, Sirigu, Sch-469 470 wartz, & Klatzky, 2003; Buxbaum, Veramonti, & Schwartz, 2000; Chaigneau & 471 Barsalou, in press; Sirigu, Duhamel, & Poncet, 1991; for reviews see Borghi, submit-472 ted for publication; Capitani, Laiacona, Mahon, & Caramazza, 2003; Martin & 473 Caramazza, 2003; Pulvermüller, 1999, 2003). Neuroimaging studies show that arti-474 facts activate pre-motor areas whereas living kinds activate brain regions involved 475 in visual processing (Chao & Martin, 2000; Martin, Wiggs, Ungerleider, & Haxby, 476 1996). Thus it can be hypothesized that artifacts' affording parts are more influenced 477 by the selected action than natural kind affording parts.

# 478 5. Experiment 3

The aim of Experiment 3 is to show with a part verification task that, depending on the actions expressed by a sentence, different parts of an object are activated. For

example, the part 'slice', due to its perceptual features, better affords the action ofdividing an orange than the part 'pulp'.

Both artifacts and natural kind concepts were used in order to see whether the hypothesis according to which concepts are patterns of potential actions holds for both kinds of concepts or only for artifacts.

# 486 5.1. Method

# 487 5.1.1. Participants

488 Nineteen students of the University of Bologna were recruited for the experiment.489 A within-subjects design was used.

# 490 5.1.2. Material

491 The material consisted of 48 sentences of the form subject-verb-object (see Appendix A). Each sentence could be followed by two nouns indicating a part of 492 the object, for a total of 96 trials. The object of half of the sentences was a basic level 493 494 natural kind (e.g., flower), the object of the other half was a basic level artifact (e.g., 495 shirt). There could be either congruency between the action expressed in the sentence 496 and the object part ('the child divided the orange-slice'; 'the child tasted the orange-pulp') or not ('the child divided the orange-pulp'; 'the child tasted the or-497 498 ange—slice'). Each part was presented only in combination with one concept (e.g., 'slice' was presented only in the two different sentences whose object was 'orange'). 499 The presented parts were controlled for length and familiarity. The same 96 sen-500 501 tences were presented followed by nouns that indicate parts, but not parts of the ob-502 ject mentioned in the sentence (e.g., 'the child divided the orange—lever'), for a total of 192 sentences. 503

# 504 5.1.3. Procedure

The experiment started with 16 practice trials to familiarize the participants with the task. During each trial each participant first saw a horizontally centered fixation point; then a sentence appeared (e.g., 'The girl read the book'); after 750 ms the sentence disappeared and a part noun appeared.

Participants had to press a button with their dominant hand as quickly as possible to indicate 'yes, the noun is a part of the object of the sentence' (e.g., 'page'), and a button with their not dominant hand to indicate 'no, it is not a part of the object of the sentence' (e.g., 'lever'). Participants were told to read the whole sentence and not just the object of the sentence.

# 514 5.2. Results

515 Analyses were conducted on both the RTs and the frequency of correct judge-

516 ments. The analyses were performed only on the trials requiring a positive response.

517 RTs were removed for target trials on which errors occurred. To reduce the effect of 518 the outliers, RTs higher or lower than the average  $\pm 2$  standard deviations for each

519 participant for each condition were eliminated, corresponding to 1.58% of the data.

<sup>14</sup> 

15

520 The ANOVA on response times showed an effect of congruency of part and verb. 521 The difference in accuracy was also significant. Affording parts were processed faster 522 (M = 970 ms instead of 1076 ms) (F(1, 18) = 5.38, MSe = 39721.49, p < 0.03) and 523 elicited less errors (M = 1.82 instead of M = 2.58) than non-affording parts 524 (F(1, 18) = 10.12, MSe = 1.09, p < 0.01). In the ANOVA on RTs the interaction be-525 tween affordance and kind of concepts was also significant (F(1, 18) = 8.72, 526 MSe = 40000.31, p < 0.01); post hoc Newman–Keuls showed that this was due to 527 the fact that non-affording parts of artifacts were processed slower than affording 528 parts of artifacts and both affording and non-affording parts of natural kinds 529 (p < 0.01) (see Fig. 2).

530 5.3. Discussion

531 The results indicate that different actions expressed by sentences activate different 532 object parts, i.e., that they activate the object parts which can be better combined 533 with the actions. Overall, affording parts, i.e., parts which are more congruent with 534 the action mentioned in the sentence (e.g., 'the woman ate the watermelon-seeds') 535 are processed faster and elicit less errors than non-affording parts (e.g., 'the woman 536 ate the watermelon—skin'). The results support the argument that conceptual organization is variable, because concepts' perceptual features are differentially accessed 537 depending on the activated action (Barsalou, 1987). 538

539 In addition, they show with a quick part verification task that object concepts are 540 activated componentially and not holistically (Biederman, 1987), because different 541 parts are activated depending on the action to perform on them.

542 Most interestingly, these results show that object concepts are represented as pat-543 terns of potential actions. This is particularly true for artifact concepts. In fact, the 544 interaction between concept kinds and congruency between parts and actions shows



Fig. 2. Experiment 3. Differences in RTs and accuracy between affording and non-affording parts.

A.M. Borghi | Acta Psychologica xxx (2003) xxx-xxx

that in artifact concepts non-affording parts are processed slower than affording
ones. Overall, the results reflect the deep relations between conceptualization, perception and action and support the perceptual symbols view of conceptualization.
In fact, as occurs with perception, with conceptualization the action intention also
selects relevant perceptual features.

550 However, an objection could be raised which invalidates the last point: given that 551 the association degree between the verbs and the parts was not controlled, the results obtained do not completely rule out an account of concepts based on associations in 552 553 a semantic network. In fact, the advantage of the pairs where there was congruency 554 between action and part over those with no congruency may simply depend on the 555 association degree between the verb and the object part (e.g., 'divide' could be more 556 associated to 'slice' than to 'pulp'), not on their being affordances for actions. The aim of Experiments 4 and 5 is to rule out this hypothesis by providing convergent 557 558 evidence obtained with different tasks.

## 559 6. Experiment 4

The aim of Experiment 4 was to verify, using the same procedure, that the results of Experiment 3 were not simply due to word association but that they were the result of a meshing process between the action to accomplish and the objects' parts. A new list of trials was construed: the material was controlled so that in each sentence the verb was not more semantically associated to the affording than to the nonaffording part.

566 6.1. Method

#### 567 6.1.1. Participants

568 Twenty-eight students of the University of Bologna volunteered their participa-569 tion. Both affordance (affording vs. non-affording parts) and concept kind (artifact 570 vs. natural kind) were manipulated within participants.

# 571 6.1.2. Material

The material consisted of 22 sentences, 11 of which had a natural kind concept as 572 object and 11 of which had an artifact concept as object, followed either by a part 573 which could be a good affordance for the expressed action or by a non-affording 574 575 part, for a total of 44 trials. An example: 'The child distributed the orange-slice' 576 (natural kind, affording part); 'The child distributed the orange—pulp' (natural kind, 577 non-affording part); 'The boy lifted the wardrobe—legs' (artifact, affording part); 578 'The boy lifted the wardrobe-shutter' (artifact, non-affording part). The material 579 was selected after two pre-tests. In the first, 20 participants were asked to produce 20 associates to the verb. None of the participants associated the critical parts to 580 581 the verb. In the second pre-test, 20 participants were required to produce five asso-582 ciates to the whole sentence. Only a few associated parts were produced, and there 583 was no difference in frequency and production order between affording and non-

17

affording parts. Part familiarity and length were controlled: there was no difference in familiarity of affording vs. non-affording parts (p < 0.188) and the number of syllables of the parts was the same across the different conditions.

587 Forty-four fillers were added to the 44 trials in which the part following the sen-588 tence was not a part of the sentence's object (e.g., 'The child distributed the orange-589 nail').

#### 590 *6.1.3. Procedure*

591 The procedure was the same as in Experiment 3: participants had to press a but-592 ton in order to decide whether or not the part noun following a sentence referred to a 593 part of the sentence's object. They had to press the 'yes' button with their dominant 594 hand. The trials were presented in a different random order for each participant. Sixteen practice trials preceded the experiment. Unlike Experiment 3, in this case par-595 596 ticipants were instructed to read the whole sentence preceding the part as they could be tested on it later. This slight modification was introduced in order to rule 597 598 out the possibility that participants paid attention only to the object of the sentence 599 and not to the whole sentence.

600 6.2. Results

601 The results of one participant were discarded because he/she had very slow RTs 602 on some trials, indicating that he/she did not perform the task attentively enough. 603 RTs were removed for target trials in which errors occurred. RTs higher or lower 604 than the average  $\pm 2$  standard deviations for each participant for each condition were eliminated. In this way less than 2% of the data was eliminated. Overall, RTs in this 605 606 experiment are longer than those in Experiment 3. This might have to do with the 607 change in instruction, because participants in this experiment were invited to pay 608 attention to the whole sentence as they could be tested on it later.

609 In the ANOVA performed on RTs there was a main effect of affordances: as ex-610 pected affording parts had shorter latencies than non-affording parts (M = 1134 vs. M = 1201 (F(1, 26) = 5.58, MSe = 21489.41, p < 0.02) with both artifacts and nat-611 ural kind concepts. In the accuracy analysis there was no effect of affordances, but 612 613 the error means had the same trend as the latency means, showing that no speed-614 accuracy tradeoff occurred. A main effect of concept kinds (F(1,26) = 11.84, 615 MSe = 11.84, p < 0.01) showed that natural kind concepts elicited more errors than 616 artifacts (M = 1.55 vs. M = 1.07). Also, the interaction was significant 617 (F(1,26) = 11.46, MSe = 0.83, p < 01), due to the fact that artifact concepts elicited 618 much fewer errors with affording parts than with non-affording parts (p < 0.01) and 619 with both affording (p < 0.01) and non-affording parts of natural kind concepts 620 (p < 0.01), as shown by post hoc Newman–Keuls (see Fig. 3).

# 621 6.3. Discussion

The results confirm and strengthen those obtained in Experiment 3. As predicted, latencies were shorter with affording than with non-affording parts. This indicates

A.M. Borghi | Acta Psychologica xxx (2003) xxx-xxx



Fig. 3. Experiment 4. Differences in RTs and accuracy between affording and non-affording parts.

624 that not all affordances are automatically activated, but rather that only the affor-625 dances that are activated by the sentence and relevant for its comprehension are acti-626 vated automatically (Kaschak & Glenberg, 2000; Stanfield & Zwaan, 2001). In 627 addition, the results indicate that objects are not holistically represented but that dif-628 ferent objects' parts can be affordances depending on the kind of action.

The accuracy analysis confirms what was found in Experiment 3: artifacts' parts are stronger affordances than natural kinds' parts. This result is congruent with literature on the neural basis of cognition showing that while artifacts are processed the sensory-motor cortex is activated.

#### 633 7. Experiment 5

The aim of Experiment 5 is to confirm the results of Experiment 4 with a different paradigm, i.e., to demonstrate that the results of Experiment 3 are not simply due to word association but to the sentences expressing actions which selected affording parts. The same material of Experiment 4 was used. The task consisted in rating on a seven-point scale how much a given part made sense in combination with the sentence.

The paradigm used strongly resembles the one used by Glenberg and Robertson (2000) who found that participants evaluated as more sensible sentences like 'After wading barefoot in the lake, Erik used his shirt to dry his feet' than sentences like 'After wading barefoot in the lake, Erik used his glasses to dry his feet'. The results can be explained by the fact that shirts, due to their perceptual features, afford the action of drying feet, whereas glasses do not.

#### 646 7.1. Method

#### 647 7.1.1. Participants

- 648 Twenty-one students of the University of Bologna volunteered for the experiment. 649 Both affordance (affording vs. non-affording parts) and concept kind (artifact vs. 650 natural kind) were manipulated within martinipants.
- 650 natural kind) were manipulated within participants.
- 651 7.1.2. Material
- 652 The same material of Experiment 4 was used.

### 653 7.1.3. Procedure

Participants were presented with all the randomized trials and were asked to rate, using a seven-point scale, "How is the part following the sentence plausible in the context of the sentence?"

### 657 7.2. Results

Affording parts (M = 4.97) were rated as more plausible in the sentence's context than non-affording parts (M = 3.47) (F(1, 20) = 49.61, MSe = 0.95, p < 0.01), despite the fact that the sentences and the parts were not associated (see Fig. 4).

#### 661 7.3. Discussion

662 The results confirm and extend those obtained in Experiment 3.

663 First, they show the advantage of affording over non-affording parts with a differ-664 ent task, consisting of plausibility judgements. The difference between the affording 665 and non-affording parts is not due to associations in a semantic network, given that 666 both affording and non-affording parts are low associates of the preceding verb. It could be objected that this result is obvious, given that the parts used are certainly 667 668 selected to be more plausible in a given context, and the ratings obtained are simply a consequence of the way the material was selected. Consider, however, the implica-669 670 tions of this result. Both kinds of sentences, those with affording and those with non-671 affording parts, are syntactically correct, and both are perfectly meaningful. Most 672 importantly, the association degree between the verb of the sentence and the parts 673 mentioned in the two sentences does not differ. This suggests that people make plau-



Fig. 4. Experiment 5. Differences in average sensibility-ratings between affording and non-affording parts.

A.M. Borghi | Acta Psychologica xxx (2003) xxx-xxx

674 sibility judgements by envisioning the perceptual characteristics of parts, extracting their affordances, and meshing them with the action expressed by the sentence (Glen-675 berg & Robertson, 2000). This process makes it possible to quickly understand the 676 677 sentence's meaning. Indicating that parts with certain physical characteristics afford 678 actions, the results suggest that objects and their parts are represented through perceptual symbols grounded in actions and not through arbitrary symbols. There is, 679 680 however, a way in which amodal theories could explain such a result. It could be ar-681 gued that, while reading and evaluating sentences, one could come up with some line 682 of reasoning to arrive at the interpretation that one part is more plausible than an-683 other in a given context. This is a plausible explanation. However, it is certainly less economical than the first—one should extract all the properties of a part and verify 684 685 whether they match with the meaning conveyed by a given sentence. Further, this explanation would not fully clarify why participants evaluated a particular combina-686 687 tion as making sense quickly and easily.

A final point is worth noticing. The absence of a difference between artifacts and natural kinds opens the possibility that, for both kinds of concepts, parts afford actions, i.e., that both artifacts and natural kinds can be conceived of as action-based concepts.

#### 692 8. General discussion

693 The results have a number of theoretical implications.

694 First, they indicate that parts relevant for actions are activated more than other 695 parts across situations; this suggests that objects are conceived of in terms of the potential actions we may perform with them (hypothesis 2a). The fact that default af-696 fordances are dictated by their importance for more frequent actions (Palmer, 697 698 Rosch, & Chase, 1981) is very plausible from an evolutionary point of view. There is much evidence converging on the importance of default affordances: it is sufficient 699 to think of the well known literature on functional-fixedness (Duncker, 1926), as well 700 701 as of the more recent literature in cognitive ergonomics (Norman, 1988).

702 However, this is not the whole story. This research also shows that our cognitive 703 system subserves action in a more subtle and sophisticated way, by storing information which might be relevant for future actions in different situations. In fact, the 704 705 existence of default affordances does not exclude the variability of conceptual orga-706 nization; the results also indicate that, depending on the different kind of interaction 707 with objects, different parts are affordances (hypothesis 2b). As in perception, in con-708 ceptualization the action intention also selects perceptual features apt for acting. 709 Experiment 1 provides evidence supporting the view that different concept parts 710 are activated depending on the simulated kind of interaction we have with objects: different parts are activated and with a different production order when we simulate 711 to build objects or to visually interact with them. Experiment 3 shows, with a part 712 713 verification task, that with the same object the parts activated (affordances) depend 714 on the kind of activated action. So, different parts of the same object may become affordances for different actions: for example a knife's blade can be a good affor-715

21

716 dance for the action of cutting whereas its handle can be a good affordance for the 717 action of grasping. The results are consistent with previous evidence showing that, depending on the situation, different object characteristics are activated (Barsalou, 718 719 1982). Borghi and Barsalou (2001) showed with a feature generation task that, when 720 participants imagine seeing a telephone, they produce mainly visual properties (e.g., 721 grey), when they imagine throwing it mainly tactile properties (e.g., smooth), and 722 when they imagine hearing it, they produce mainly auditive properties (e.g., rings) 723 (see also Klatzky, Pellegrino, McCloskey, & Doherty, 1989; Klatzky, Pellegrino, 724 McCloskey, & Leberman, 1993; Pecher et al., 2003).

725 Experiments 4 and 5 were devised in order to prevent a possible objection. In fact, 726 the results of Experiments 1–3 demonstrate that conceptual organization is variable 727 and that action is a powerful mechanism for preserving object information (for convergent neuropsychological evidence see Magniè, Ferreira, Giuliano, & Poncet, 728 729 1996), but not necessarily that concepts are embodied. In fact, they could be ex-730 plained by a semantic network account stating that, for example, the semantic asso-731 ciation between 'cut' and 'blade' is stronger than the semantic association between 732 'cut' and 'handle'. The aim of Experiments 4 and 5 was to show with both a part ver-733 ification and a sensibility-rating task that this was not the case. The results clearly 734 indicate that the effects found depend on objects' parts affording actions, i.e., on 735 the specific match between object properties and action, and that the results cannot 736 be explained by an account based on semantic associations. For example, the petals 737 and the corolla of a flower are not semantically associated to the action of stripping, 738 but the perceptual properties of petals, their length and consistency, afford stripping 739 much more than the perceptual properties of the corolla. Overall, the results suggest 740 that objects are represented through symbols that are perceptual, and not arbitrary. 741 The finding that objects are conceived as patterns of potential actions is predicted

742 by embodied and situated theories of concepts. Consider how an embodied theory of 743 concepts can explain the results: a sentence, such as for example 'Imagine building a 744 car' in Experiment 1 or 'The woman shares the orange' in Experiments 3–5, guides a 745 certain simulation. This simulation leads to a selective activation of parts of the per-746 ceptual symbol, the car or the orange, whose perceptual characteristics are compat-747 ible with the action described in the sentence. Thus in Experiment 1 participants 748 produce different parts depending on the simulation, and in Experiments 3-5 afford-749 ing parts are accessed earlier, and evaluated as making more sense within the sen-750 tence, than non-affording parts. In Experiment 2 it is not the sentence that guides 751 the simulation, but the object name. Simulating a car leads participants primarily 752 to access parts of the car that are relevant for canonical actions with it. This expla-753 nation is economical, natural and simple.

Even though the results are more easily predicted by an embodied account, in principle they could be also accounted for through amodal views of knowledge representation that allow for interactions between the concept core and a sort of perceptual-motor memory. Successful amodal views postulate the existence of two levels in knowledge representation: a purely symbolic layer and a procedural layer that, operating on the symbols, "instructs" the symbolic layer on how to operate in the world. Let us consider the way in which an amodal account could interpret the results of the

761 different experiments. The proponents of the amodal view might argue that the re-762 sults of Experiments 1 and 2, obtained through a part-generation task, reflect the association degree between a given perspective sentence and the produced parts. This 763 764 explanation is weakened if one considers the fact that the experiments provide evi-765 dence of both canonical and situational effects, and not just of situational effects. 766 However, an amodal account could also explain canonical effects: for example, by 767 arguing that the symbols representing a car may have a stronger connection to sym-768 bols representing the steering wheel, the brake and the seats, than to symbols repre-769 senting the roof and the antenna. Now consider Experiment 3. The results could be 770 due to the higher semantic relatedness between a sentence and the object's affording 771 parts than between a sentence and the object's non-affording parts. However, the re-772 sults of Experiments 4 and 5, which do not depend on semantic relatedness, rule out this interpretation. In this case too, however, an amodal account could postulate the 773 774 existence of some procedures for using the description to guide comprehension, and, 775 eventually, action (e.g., when sharing an orange, focus on its slices; when eating it, 776 focus on its pulp).

777 Thus, an amodal account could explain the results found, even though it would be 778 difficult to predict them from an amodal point of view. Amodal accounts are very 779 powerful, and in principle they can explain everything. However, the results found 780 are more naturally and easily predicted by an embodied theory of knowledge, and 781 an explanation based on an amodal account would have some shortcomings. The 782 first shortcoming is that of symbol grounding: how do amodal accounts deal with the relationships between symbols and perception and action experience (Harnad, 783 784 1990)? This leads to the second shortcoming: they can explain the results of the 785 experiments post hoc, but there is no principled way in which they could predict 786 them. The third shortcoming is that, in providing an explanation, they need to pos-787 tulate an enormous set of symbols, procedures, inter-connections between symbols. 788 For example, they should postulate that the action of ripping a flower activates certain parts, such as petals, stems and others, whereas the action of offering it activates 789 790 different parts. However, in order to explain the flexibility of human knowledge, the 791 number of symbols, links, and procedures, may become increasingly wide and create 792 a problem of combinatorial explosion (for a more detailed discussion of this point 793 see Borghi, Glenberg, & Kaschak, submitted for publication). So, the results suggest 794 that concepts are represented as pattern of potential actions, and that a currently 795 activated action increases the psychological salience of affording parts. Even though a propositional account of concepts that allow for interaction with motor memory 796 797 could also account for these results, an explanation of the results based on a sen-798 sory-motor account of conceptualization seems more parsimonious, elegant and 799 plausible.

A further point is worthy of discussion. Consider the fact that parts are generally considered as perceptual rather than functional features. The results also show that perceptual features, like parts, are differently activated depending on their power in affording actions (Tucker & Ellis, 1998). This argues for a strong interaction between perception, action (Bekkering & Neggers, 2002; Jeannerod et al., 1995; Knoblich & Flach, 2001) and conceptualization. Recent evidence in this direction has been pro-

<sup>22</sup> 

806 vided by Glenberg and Kaschak (2002), who show that, when a sentence implied an 807 action in a direction, participants had difficulties making a sensibility judgement 808 requiring them to press a button moving in the opposite direction. In the same vein, 809 Borghi et al. (submitted for publication) recently found a congruency effect between 810 the location of a part noun, upper or lower (e.g., cork vs. bottom of a bottle), and the 811 upper or lower location of a key to press in performing a part verification task. In the 812 same line, Carlson (2000) has demonstrated the importance of functional informa-813 tion for spatial relations as 'above', as function significantly influences the selection 814 of reference frames. Probably for adaptive reasons, the way we store and retain 815 information on objects and on object parts in memory is deeply influenced by the 816 potential actions we can perform with them (Wilson, 2002).

In addition, the results found imply that objects are represented componentially rather than holistically. Most interestingly, they suggest that, at least for the considered items, object compositionality is grounded in action: in fact, object parts are separately activated as they may afford different actions.

821 A last point is worthy of discussion. In the last three experiments contradictory 822 results are found with respect to the role affordances play for artifacts and natural 823 kind concepts. In Experiment 5, which consisted in a slow rating task, the difference 824 between concept kinds was not significant. In Experiments 3 artifacts' non-affording 825 parts were processed slower than artifacts' affording parts and than natural kinds 826 parts, in Experiment 4 artifacts' affording parts elicited less errors than artifacts' 827 non-affording parts and than natural kinds parts. Evidence convergent with the 828 advantage of artifacts' affording parts is provided by studies showing that artifacts 829 mainly elicit functional and action features. This evidence could also be accounted 830 for by a domain-specific view of knowledge organization, according to which evolu-831 tionary pressures have resulted in specialized neural circuits dedicated to processing 832 different categories. As argued by Mahon and Caramazza (in press), the plausible 833 candidates for this are the categories that are relevant for our evolutionary history 834 such as animals, plants, con-specifics, tools.

835 However, the results suggest that the difference between artifacts and natural 836 kinds could be simply a matter of grade, due to the fact that artifacts are more often acted with in the same way, while natural kinds are not (Parisi, personal communi-837 838 cation). For example, we generally use chairs for sitting, while we generally interact 839 with cats in many different ways—petting them, feeding them, playing with them—so 840 that several parts may become salient. Thus, the results open the interesting possibil-841 ity that all concepts, and not only artifacts, are conceived as possible action patterns. 842 Another possibility is partially compatible with the results found. It is possible 843 that the absence of a clear difference between artifacts and natural kinds is due to 844 the fact that both the artifacts and the natural kind concepts used were manipulable and are generally acted with. In this line, recent papers suggest that the difference 845 846 between artifacts and natural kinds should be reformulated in terms of the difference 847 between manipulable artifacts and natural kinds such as watches and oranges, and 848 non-manipulable artifacts and natural kinds such as statues and stars (Gerlach, 849 Law, & Paulson, 2002).

A.M. Borghi | Acta Psychologica xxx (2003) xxx-xxx

## 850 Acknowledgements

851 I would like to thank the students of a seminar in cognitive processes (in alpha-852 betical order) who participated in the preparation of the material, data collection, 853 and the ideation of experiment 3: Federica Calanchi, Diego Cofone, Maurizio Giudice, Francesco Migliorini, Edoardo Osculati, Andrea Rubbini. Thanks to Ann Gag-854 855 liardi for help with English. I would also like to thank Art Glenberg for useful 856 suggestions during planning of the last experiments and for discussion of these 857 themes and to Morana Alac, Tina Iachini, Mike Kaschak, Domenico Parisi, Diane 858 Pecher, René Zeelenberg, Rolf Zwaan for comments on a previous draft of this pa-859 per. Thanks to Jan Vanrie, Johan Wagemans and an anonymous reviewer for useful 860 comments and suggestions. Special thanks to Larry Barsalou for inspiration as well as for comments on a previous draft of this work. This research was financed by a 861 Giovani Ricercatori 2001–2002 grant awarded by the University of Bologna to the 862 author. This research was presented at the First Annual Summer Interdisciplinary 863 Conference, Squamish, BC, Canada, July 30-August 4, 2002. 864

# 865 Appendix A

866 A.1. Experiments 1 and 2—materials

- 867 1. Bicycle
- 868 2. Washing machine
- 869 3. Car
- 870 4. Piano
- 871 5. Motorbike
- 872 6. Mixer
- 873 7. Hi-fi

874

#### A.2. Experiment 3—materials 875

- 876 1. The child divided the orange/tasted the orange—slice/pulp.
- 877 2. The woman ate the watermelon/threw the watermelon away—seed/skin.
- 878 3. The man cut the grapes off/savored the grapes (uva)—stalk/grape (\* in Italian it's a different word: acino).

- 880 4. The girl pet the cat/bothered the cat—head/tail.
- 5. The girl fed the bird/picked up the bird—beak/wing. 881
- 6. The girl rode the pony/fed the pony-back/muzzle. 882
- 883 7. The man sawed the tree/paused under the tree—trunk/leafy branch.
- 884 8. The boy cut the flower/gave the flower as a present—stem/corolla.
- 885 9. The boy picked up the rose/smelled the rose—thorn/petal.
- 886 10. The man chewed the fish/caught the fish—bone/mouth (of the fish).

- 887 11. The woman cleaned the artichoke/enjoyed/savored the artichoke-leaves/hearth.
- 888 12. The man pruned the olive tree/planted \* (cut off) the olive tree—branch/root.
- 889 13. The man grasped the knife/cut with the knife—handle/blade.
- 890 14. The boy untied the shirt/folded the shirt-button/arm.
- 891 15. The girl read the book/found the book-page/cover.
- 892 16. The woman opened the bottle/raised the bottle—cork/bottom.
- 893 17. The woman put the skirt on/ironed the skirt-zip/fold.
- 894 18. The child leaned against the chair/turned the chair over-back/legs.
- 895 19. The girl closed the door/slammed the door-handle/shutter.
- 896 20. The man loaded the car/drove the car-trunk/steering wheel.
- 897 21. The boy lay down on the bed/sat on the bed-mattress/headboard.
- 898 22. The girl controlled the watch/took the watch off-(watch) strap/(watch) hand (\*
- 899
  - in Italian both words are specific for watches: cinturino, lancetta).
     900 23. The man played the piano/transported the piano—key/tail.
  - 901 24. The boy set the table/lifted the table—shelf (of the table)—feet.
  - 902
  - 903 A.3. Experiments 4 and 5-materials
  - 904 1. The woman shares/distributes the orange—slice—pulp.
  - 905 2. The boy takes the cat—stomach—eye.
  - 906 3. The girl throws the watermelon away—skin—seed.
  - 907 4. The boy devours the artichoke—hearth—leaves.
  - 908 5. The girl rips/tears the flower-petal-corolla.
  - 909 6. The boy picks up the bird—legs—beak.
  - 910 7. The girl hugs/embraces the tree—branch—leaves.
  - 911 8. The man caught the fish—mouth—bone.
  - 912 9. The girl keeps the butterfly—wings—head.
  - 913 10. The woman mounts the bear-back-muzzle.
  - 914 11. The boy feeds the dog-tongue-tail.
  - 915 12. The girl puts the watch down-watch strap-watch hand.
  - 916 13. The boy extracts the book—cover—page.
  - 917 14. The man gets off the motorbike—pedal—light.
  - 918 15. The woman smoothes the shirt—arm—knob.
  - 919 16. The girl puts the bottle down-neck-cork.
  - 920 17. The woman dyes the jacket—pocket—zip.
  - 921 18. The man enters the car—seat—trunk.
  - 922 19. The man paints the door-hinge-lock.
  - 923 20. The boy lifts the wardrobe—legs—shutter.
- 924 21. The woman raises the glasses—legs (\*in Italian it is a word specific for glasses)— 925 lens.
  - 926 22. The man cleans the computer—screen—key.

A.M. Borghi | Acta Psychologica xxx (2003) xxx-xxx

#### 928 References

- 929 Barsalou, L. W. (1982). Context-independent and context-dependent information in concepts. *Memory* 930 and Cognition, 10, 82–93.
- Barsalou, L. W. (1987). The instability of graded structure: Implications for the nature of concepts. In U.
  Neisser (Ed.), *Concepts and conceptual development, ecological and intellectual factors in categorization* (pp. 101–140). Cambridge, MA: Cambridge University Press.
  - 934 Barsalou, L. W. (1999). Perceptual symbol systems. Behavioral and Brain Sciences, 22, 577–609.
- Barsalou, L. W. (2002). Being there conceptually: Simulating categories in preparation for situated action.
  In N. L. Stein, P. J. Bauer, & M. Rabinowitz (Eds.), *Representation, memory, and development: Essays in honor of Jean Mandler* (pp. 1–16). Mahwah, NJ: Erlbaum.
- Barsalou, L. W., Simmons, W. K., Barbey, A. K., & Wilson, C. D. (2003). Grounding conceptual
   knowledge in modality-specific systems. *Trends in Cognitive Science*, 7, 84–91.
- Barsalou, L. W., Solomon, K. O., & Wu, L. L. (1999). Perceptual simulation in conceptual tasks. In M. K.
  Hiraga, C. Sinha, & S. Wilcox (Eds.), *Cultural, typological, and psychological perspectives in cognitive linguistics: The proceedings of the 4th conference of the International Cognitive Linguistics Association*(Vol. 3, pp. 209–228). Amsterdam: John Benjamins.
- Bekkering, H., & Neggers, S. W. (2002). Visual search is modulated by action intentions. *Psychological Science*, 13(4), 2002.
  - 946 Berthoz, A. (1997). Le sens du mouvement. Paris: Odile Jacob.
- 947 Biederman, I. (1987). Recognition by components: A theory of human image understanding. *Psychological* 948 *Review*, 94, 115–147.
- 949 Borghi, A. M. (submitted for publication). Object concepts and action. In D. Pecher, & R. Zwaan (Eds.).
  950 Grounding cognition. The role of perception and action in memory, language, and thought. New York:
  951 Cambridge University Press.
- 952 Borghi, A. M., & Barsalou, L. W. (2001). Perspectives in the conceptualization of categories. In *Abstracts*953 of the 42th Annual Meeting of the Psychonomic Society, Orlando, FL (Vol. 6, Abstract no. 141). Austin,
  954 TE: Psychonomic Society Publication. Paper in preparation.
- 955 Borghi, A. M., & Caramelli, N. (2003). Situation bounded conceptual organization in children: from 956 action to spatial relations. *Cognitive Development*, 18(1), 49–60.
- 957 Borghi, A. M., Glenberg, A. M., & Kaschak, M. P. (submitted for publication). Putting words in 958 perspective.
- Buxbaum, L. J., Schwartz, M. F., & Carew, T. G. (1997). The role of semantic memory in object use.
   *Cognitive Neuropsychology*, 14, 219–254.
- 961 Buxbaum, L. J., Sirigu, A., Schwartz, M. F., & Klatzky, R. (2003). Cognitive representations of hand
   962 posture in ideomotor apraxia. *Neuropsychologia*, 41, 1091–1113.
- Buxbaum, L. J., Veramonti, T., & Schwartz, M. F. (2000). Function and manipulation tool knowledge in apraxia: Knowing 'what for' but not 'how'. *Neurocase*, 6, 83–97.
- 965 Capitani, E., Laiacona, M., Mahon, B., & Caramazza, A. (2003). What are the facts of semantic category-966 specific deficits? A critical review of the clinical evidence. *Cognitive Neuropsychology*, 20, 213–261.
- 967 Carlson, L. A. (2000). Object use and object location: The effect of function on spatial relations. In E. van
   968 der Zee & U. Nikanne (Eds.), *Cognitive interfaces: Constraints on linking cognitive information* (pp. 94–
   969 115). Oxford: Oxford University Press.
- 970 Chaigneau, S. E., & Barsalou, L. W. (in press). The role of function in categories. *Theoria et Historia* 971 Scientiarum.
- 972 Chao, L. L., & Martin, A. (2000). Representation of manipulable man-made objects in the dorsal stream.
   973 NeuroImage, 12, 478–484.
- 974 Duncker, K. (1926). A qualitative (experimental and theoretical) study of productive thinking (solving of 975 comprehensible problems). *Journal of Genetic Psychology*, *33*, 642–708.
- 976 Fodor, J. (1975). The language of thought. New York: Crowell.
- 977 Gerlach, C., Law, I., & Paulson, O. B. (2002). When action turns into words. Activation of motor-based
  978 knowledge during categorization of manipulable objects. *Journal of Cognitive Neuroscience*, 14, 1230–
  979 1239.

- 980 Gibson, J. J. (1979). The ecological approach to visual perception. New York: Houghton Mifflin.
- 981 Glenberg, A. M. (1997). What memory is for. Behavioral and Brain Sciences, 20, 1-55.
- 982 Glenberg, A. M., & Kaschak, M. P. (2002). Grounding language in action. *Psychonomic Bulletin & Review*, 9, 558–565.
- 984 Glenberg, A. M., & Robertson, D. A. (2000). Symbol grounding and meaning: A comparison of high-985 dimensional and embodied theories of meaning. *Journal of Memory and Language*, *43*, 379–401.
  - 986 Harnad, S. (1990). The symbol grounding problem. *Physica D*, 42, 335–346.
  - 987 Hoffman, D. D., & Richards, W. A. (1984). Parts of recognition. Cognition, 18, 65–96.
- Humphreys, G. W., & Riddoch, M. J. (2001). Detection by action: Evidence for affordances in search in neglect. *Nature Neuroscience*, 4, 84–88.
- Jeannerod, M., Arbib, M. A., Rizzolatti, G., & Sakata, H. (1995). Grasping objects: The cortical
   mechanisms of visuomotor transformation. *Trends in Neuroscience*, 18, 314–320.
- Kaschak, M. P., & Glenberg, A. M. (2000). The role of affordances and grammatical constructions in sentence comprehension. *Journal of Memory and Language*, V3, 508–529.
- 994 Keil, F. C. (1989). Concepts, kinds, and cognitive development. Cambridge, MA: MIT Press.
- Klatzky, R. L., Pellegrino, J. W., McCloskey, B. P., & Doherty, S. (1989). Can you squeeze a tomato? The role of motor representations in semantic sensibility judgements. *Journal of Memory and Language, 28*, 56–77.
- 8 Klatzky, R. L., Pellegrino, J. W., McCloskey, B. P., & Leberman, S. J. (1993). Cognitive representations of
   9 functional interaction with objects. *Memory and Cognition*, 21, 294–303.
- 1000 Knoblich, G., & Flach, R. (2001). Predicting the effects of actions: Interactions of perception and action.
   1001 Psychological Science, 12(6), 467–472.
- Landauer, T. K., & Dumais, S. T. (1997). A solution to Plato's problem: The latent semantic analysis
   theory of acquisition, induction and representation of knowledge. *Psychological Review*, 104, 211–240.
- 1004 Liberman, A. M., & Whalen, D. H. (2000). On the relation of speech to language. *Trends in Cognitive* 1005 Sciences, 4, 187–196.
- 1006 Lin, E. L., & Murphy, G. L. (2001). Thematic relations in adults' concepts. *Journal of Experimental* 1007 *Psychology: General, 130*(1), 3–28.
- Magniè, M. N., Ferreira, C. T., Giuliano, B., & Poncet, M. (1996). Category specificity in object agnosia:
   Preservation of sensorimotor experiences related to object. *Neuropsychologia*, *37*, 67–74.
- Mahon, B. Z., & Caramazza, A. (in press). The organization of conceptual knowledge in the brain: Living
   kinds and artifacts. In E. Margolis, & S. Laurence (Eds.). Creations of the mind: Essays on artifacts and
   their representation. Oxford: Oxford University Press.
- Martin, A., & Caramazza, A. (2003). Neuropsychological and neuroimaging perspectives on conceptual
   knowledge: An introduction. *Cognitive Neuropsychology*, 20, 195–212.
- Martin, A., Ungerleider, L. G., & Haxby, J. V. (2001). Category specificity and the brain: The sensory motor model of semantic representations of objects. In M. S. Gazzaniga (Ed.), *The cognitive neurosciences* (2nd ed., pp. 1023–1036). Cambridge, MA: MIT Press.
- Martin, A., Wiggs, C. L., Ungerleider, L. G., & Haxby, J. V. (1996). Neural correlates of highly specific
   knowledge. *Nature*, 379, 649–652.
- 1020 Murphy, G. L. (1991). Parts in object concepts: Experiments with artificial categories. *Memory and* 1021 Cognition, 19, 423–438.
- 1022 Norman, D. (1988). The psychology of everyday things. New York: Basic Books.
- 1023 O'Regan, K., & Noë, A. (2001). A sensorimotor account of vision and visual consciousness. *Behavioral* 1024 and Brain Sciences, 24, 883–917.
- Palmer, S., Rosch, E., & Chase, P. (1981). Canonical perspective and the perception of objects. In J. Long
  & A. Baddeley (Eds.), *Attention and performance IX* (pp. 135–151). Hillsdale, NJ: Erlbaum.
- 1027 Pecher, D., Zeelenberg, R., & Barsalou, L. W. (2003). Verifying conceptual properties in different 1028 modalities produces switching costs. *Psychological Science*, *14*, 119–124.
- 1029 Prinz, W. (1997). Perception and action planning. *European Journal of Cognitive Psychology*, 9(2), 129–1030 154.
- 1031 Pulvermüller, F. (1999). Words in the brain's language. *Behavioral and Brain Sciences*, 22, 253–336.

# ACTPSY 949 15 December 2003 Disk used ARTICLE IN PRESS No. of Pages 28, DTD=4.3.1

28

A.M. Borghi | Acta Psychologica xxx (2003) xxx-xxx

- 1032 Pulvermüller, F. (2003). The neuroscience of language. On brain circuits of words and serial order.
   1033 Cambridge, MA: Cambridge University Press.
- 1034 Rakinson, D. H., & Butterworth, G. E. (1998). Infants' attention to object structure in early 1035 categorization. *Developmental Psychology*, 34(6), 1310–1325.
- 1036 Rizzolatti, G., & Arbib, M. A. (1998). Language within our grasp. Trends in Neurosciences, 21, 188-194.
- 1037 Rizzolatti, G., Riggio, L., Dascola, I., & Umiltà, C. (1987). Reorienting attention across the horizontal
   and vertical meridians: Evidence in favor of a premotor theory of attention. *Neuropsychologia*, 25, 31–
   40.
- 1040 Rumiati, R. I., & Humphreys, G. W. (1998). Recognition by action: Dissociating visual and semantic 1041 routes to action in normal observer. *Journal of Experimental Psychology: Human Perception and* 1042 *Performance*, 24, 631–647.
- 1043 Schyns, P. G., & Murphy, G. L. (1994). The ontogeny of part representation in object concepts. In D.
  1044 Medin (Ed.), *The psychology of learning and motivation* (Vol. 31, pp. 305–349). San Diego, CA:
  1045 Academic Press.
- Sirigu, A., Duhamel, J. R., & Poncet, M. (1991). The role of sensorimotor experience in object recognition.
   A case of multimodal agnosia. *Brain*, 114, 2555–2573.
- 1048 Smith, E. E., & Medin, D. L. (1981). Categories and concepts. Cambridge, MA: Harvard University Press.
- 1049 Smith, L. B. (1995). Stability and variability: The geometry of children's novel-word interpretations. In F.
   1050 D. Abraham & A. R. Gilgen (Eds.), *Chaos theory in psychology* (pp. 53–72). London: Praeger.
- 1051 Smith, L. B., & Samuelson, L. L. (1997). Perceiving and remembering: Category stability, variability and
   1052 development. In K. Lamberts & D. Shanks (Eds.), *Knowledge, concepts, and categories* (pp. 161–195).
   1053 Hove: Psychology Press.
- 1054 Stanfield, R. A., & Zwaan, R. A. (2001). The effect of implied orientation derived from verbal context on 1055 picture recognition. *Psychological Science*, *12*, 153–156.
- 1056 Sternberg, S. (1969). The discovery of processing stages. Acta Psychologica, 30, 34–78.
- 1057Thelen, E., & Smith, L. B. (1994). A dynamic systems approach to the development of cognition and action.1058Cambridge, MA: MIT Press.
- 1059Tucker, M., & Ellis, R. (1998). On the relations between seen objects and components of potential actions.1060Journal of Experimental Psychology: Human Perception and Performance, 24(3), 830–846.
- 1061Tucker, M., & Ellis, R. (2001). The potentiation of grasp types during visual object categorization. Visual1062Cognition, 8, 769–800.
- 1063Tulving, E. (1972). Episodic and semantic memory. In E. Tulving & W. Donaldson (Eds.), Organization of1064memory (pp. 381–403). New York: Academic Press.
- 1065 Tversky, B. (1989). Parts, partonomies, and taxonomies. Developmental Psychology, 25(6), 983–995.
- 1066 Tversky, B., & Hemenway, K. (1984). Objects, parts, and categories. *Journal of Experimental Psychology:* 1067 *General*, 113, 169–193.
- Ward, R. (1999). Interactions between perception and action systems: A model for selective action. In G.
   W. Humphreys, J. Duncan, & A. Treisman (Eds.), Attention, space and action. Studies in cognitive neuroscience (pp. 301–332). Oxford: Oxford University Press.
- 1071 Wilson, M. (2002). Six views of embodied cognition. Psychonomic Bulletin & Review, 9, 625-636.
- 1072 Wu, L. L., & Barsalou, L. W. (submitted for publication). Grounding concepts in perceptual simulation: I.
   1073 Evidence from property generation.
- 1074 Zwaan, R., Stanfield, R. A., & Yaxley, R. H. (2002). Do language comprehenders routinely represent the 1075 shapes of objects? *Psychological Science*, *13*, 168–171.